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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
KyongKun Oh) Group Art Unit: 2152
Appln. No. 09/967,076) Examiner:
Filed: September 28, 2001) **Reponse to**
For: METHOD AND SYSTEM FOR) **Notice to File Corrected**
PROVIDING REAL-TIME DATA) **Application Papers (Mailed 10/30/01)**
TRANSMISSION FOR SECURITIES)
TRADING) Jackson & Co., LLP
Oakland, CA 94611-2082

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on December 28, 2001.

Seong-Kun Oh

Commissioner for Patents
Washington, D.C. 20231

**COPY OF PAPERS
ORIGINALLY FILED**

Sir:

In response to Notice to File Corrected Application papers mailed October 30, 2001, enclosed please find two sheets of drawings for the above-referenced application in compliance with the margin requirements set forth in 37 CFR §1.84.

The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR §1.16 or §1.17 to Deposit Account No. 50-2019. **A duplicate of this transmittal is attached.**

Respectfully submitted,
JACKSON & CO., LLP

December 28, 2001
(Date)

By: 
Seong-Kun Oh
Registration No. 48,210

Attorneys for Applicant(s)

(KKOH-100)

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20523
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/967,076	09/28/2001	KyongKun Oh	KKOH-100

CONFIRMATION NO. 4888

FORMALITIES LETTER



OC000000006993512

30349
JACKSON & CO., LLP
6114 LA SALLE AVENUE
SUITE 507
OAKLAND, CA 94611-2802

COPY OF PAPERS
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Date Mailed: 10/30/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)) Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#3

In re Patent Application of

KyongKun Oh

Appln. No. 09/967,076

Filed: September 28, 2001

For: METHOD AND SYSTEM FOR
PROVIDING REAL-TIME DATA
TRANSMISSION FOR SECURITIES
TRADING

Group Art Unit: 2152

Examiner:

COPY OF PAPERS
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TRANSMITTAL LETTER

Jackson & Co., LLP
6114 La Salle Ave., Ste 507
Oakland, CA 94611-2082

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Assistant Commissioner for Patents, Washington, D.C. 20231
on December 28, 2001.

Seong-Kun Oh

Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
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Sir:

In response to Notice to File Corrected Application papers mailed October 30, 2001,
enclosed please find the following:

1. Response to Notice to File Corrected Application Papers (in duplicate)
2. Certificate of Mailing (in duplicate)
3. Two sheets of Corrected Figures (Figures 1 and 2)
4. Part 2 Copy of Notice to File Corrected Application Papers mailed 10/30/01
5. Deposit Account Authorization (in duplicate)
6. Postage paid return post card

Respectfully submitted,
JACKSON & CO., LLP

December 28, 2001
(Date)

By:

Seong-Kun Oh
Registration No. 48,210

Attorneys for Applicant(s)

(KKOH-100)

09967076-012900